

Isabel Guggisberg

From: Dinah Alvarez <dalvarez@fsc.gi>
Sent: 13 November 2015 15:58
To: Isabel Guggisberg
Subject: Atlantic Capital Limited - passporting notification
Attachments: ATT00001.txt; ATT00002.htm

Dear Isabel

Please find below the email confirmation from the Central Bank of Ireland.

Kind regards

Dinah Alvarez
Regulatory Officer
Authorisations
Regulatory Operations
Gibraltar Financial Services Commission
PO Box 940
Suite 3, Ground Floor
Atlantic Suites
Europort Avenue
Gibraltar

From: iffs.notifications [mailto:iffs.notifications@centralbank.ie]
Sent: 04 November 2015 17:23
To: Dinah Alvarez
Subject: RE: Atlantic Capital Limited - passporting notification

Dear Dinah,

I wish to confirm receipt of the below mail.

Please note the FOS register is not a public register.

The above named entity has been deemed as passported into Ireland.

Regards

Emma Coffey

Authorisations Processing and Supervisory Support | Investment Firms and Fund Services | [Central Bank of Ireland](#) | Iveagh Court | Block D | Harcourt Road | Dublin 2 | D02 VH94 | Ireland

E-mail address: emma.coffey@centralbank.ie

Please note, information contained in this correspondence is confidential and is disclosed to you in accordance with Section 33AK of the Central Bank Act, 1942 (as amended). It is given on a regulator-to-regulator basis, and must not be disclosed to 3rd parties without the prior written agreement of the Central Bank.

From: Dinah Alvarez [<mailto:dalvarez@fsc.gi>]
Sent: 30 October 2015 11:23
To: iffs.notifications
Subject: Atlantic Capital Limited - passporting notification

Dear Sirs

I refer to the attached passporting notification dated 30 July 2015.

The notification relates to Atlantic Capital Limited and its intention to provide services in your country under the Markets in Financial Instruments Directive (2004/39/EC).

Please advise whether you have received such notification, if so, please confirm when this will be available on your website as approved to passport into Ireland.

I look forward to hearing from you.

Kind regards

Dinah Alvarez
Regulatory Officer
Authorisations
Regulatory Operations
Gibraltar Financial Services Commission
PO Box 940
Suite 3, Ground Floor
Atlantic Suites
Europort Avenue
Gibraltar

This e-mail and the information it contains may be privileged and/or confidential. It is for the intended addressee(s) only. The unauthorised use, disclosure or copying of this e-mail, or any information it contains, is prohibited and could, in certain circumstances, be a criminal offence. If you have received this e-mail in error, please advise the sender.

Any advice or interpretation given in this e-mail represents the views of the FSC as to its expectations of how the requirements of the relevant legislation in question is to be complied with and/or how it might be applied. This, however, is not intended as a definitive interpretation of the applicable legislation which is ultimately a matter for the courts to determine. You are, therefore, strongly advised to seek appropriate legal advice before any action or decision is taken (if you have not already done so). The FSC does not provide, or purport to offer, legal advice.

This e-mail and the information it contains may be privileged and/or confidential. It is for the intended addressee(s) only. The unauthorised use, disclosure or copying of this e-mail, or any information it contains, is prohibited and could, in certain circumstances, be a criminal offence. If you have received this e-mail in error, please advise the sender.

Any advice or interpretation given in this e-mail represents the views of the FSC as to its expectations of how the requirements of the relevant legislation in question is to be complied with and/or how it might be applied. This, however, is not intended as a definitive interpretation of the applicable legislation which is ultimately a matter for the courts to determine. You are, therefore, strongly advised to seek appropriate legal advice before any action or decision is taken (if you have not already done so). The FSC does not provide, or purport to offer, legal advice.